## 2 1 JAN 2005 DT02 Rec'd PCT/PTO ATTORNEY'S DOCKET NUMBER FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE 11. SIDEPARTMENT OF COMMERCE (REV. 12-2004) TRANSMITTAL LETTER TO THE UNITED STATES 264910US0PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 22 July 2002 (earliest) PCT/JP03/09181 18 July 2003 TITLE OF INVENTION PROCESS FOR PRODUCING CRYSTALLINE 1,2-POLYBUTADIENE APPLICANT(S) FOR DO/EO/US Masahiro SHIBATA, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. $\boxtimes$ The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). b. 🛛 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🔲 6. $\boxtimes$ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. X are attached hereto (required only if not communicated by the International Bureau). a. 🗀 b. 🗆 have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. c. 🗆 d. 🛛 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. $\boxtimes$ Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. A copy of the International Search Report (PCT/ISA/210). $\boxtimes$ 12. Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. 

15. A FIRST preliminary amendment.

16. A SECOND or SUBSEQUENT preliminary amendment.

17. A substitute specification.

18. A power of attorney and/or change of address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 19.

 $\Box$ A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21.

22 Express Mail Label No.

 $\boxtimes$ 23. Other items or information:

Application Data Sheet/Notice of Priority/Request for Consideration/Drawings (1 Sheet)/PCT/IB/304

Specification containing Amended Sheets (Pages 1-24)

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

A The following fees are submitted:	U.S. APPLICATION NO (if known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
3 a) Basic national fee   \$300.00   \$ \$300.00   \$ \$200.00   \$ \$5	1U/5C1/81 PCT/JP03/09181						264910US0PCT		
Social Search fee   Soc	24. The following fees are submitted:						Applicant use	Office use	
TOTAL OF ABOVE CALCULATIONS = \$500.00 \$ \$1,000.00 \$ \$1,000.00 \$ \$1,000.00 \$ \$31,000.00 \$ \$31,000.00 \$ \$3250 for each additional 50 sheets of paper over 100 sheets (excouning 15250 for each additional 50 sheets of paper or fraction thereof. The fee is \$250 for each additional 50 sheets of paper or fraction thereof. The fee is \$250 for each additional 50 sheets of paper or fraction thereof. The fee is \$250 for each additional 50 sheets of paper or fraction thereof. The fee is \$250 for each additional 50 or fraction thereof (fround up to a whole \$250.00 \$ \$0.00	☐ a) Basic national fee						\$ \$300.00		
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Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence isting or computer program listing filed in an electronic medium). The fee is sequence isting or computer program listing filed in an electronic medium). The fee is Sequence isting or computer or fear and difficient So or facilities. Page 14, 100 =   7.50 =   1.5	⊠ c) Search fe	e				\$500.00	\$ \$500.00		
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Independent claims 11 - 20 = 0 x \$50.00 \$ \$0.00   Independent claims 1 - 3 = 0 x \$200.00 \$ \$0.00   MULTIPLE DEPENDENT CLAIMS (if applicable)				claration later than n	nonth	s from the	\$		
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TOTAL OF ABOVE CALCULATIONS = \$ \$1,360.00    Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  SUBTOTAL = \$ \$1,360.00    Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ \$1,360.00    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompaniled by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$0.00    Amount to be refunded: Amount to be charged:  Amount to be charged:  Description of the amount of \$ \$ \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be refunded: Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    TOTAL FEES ENCLOSED = \$ \$1,360.00    TOTAL FEES ENCLOSED = \$ \$1,360.00    Amount to be charged:  TOTAL FEES ENCLOSED = \$ \$1,360.00    TOTAL FEES ENCLOSED =	Independent clair	ns 1	- 3=	0	x	\$200.00	\$ \$0.00		
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Surinder Sachar CUSTOMER NUMBER 22850  SIGNATURE Norman F. Oblon NAME 24,618	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
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REGISTRATION NUMBER	24,618								
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Page 2 of 2

PCTUS1/REV05

Docket No.

264910US0PCT

IN RE APPLICATION OF: Masahiro SHIBATA, et al.

SERIAI. NO: 10/521,781

FILED:

January 21, 2005

FOR:

PROCESS FOR PRODUCING CRYSTALLINE 1,2-POLYBUTADIENE

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

## SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith: Preliminary Amendment

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	9	MINUS	20	0	х	\$50	=	\$0.00
INDEPENDENT	1	MINUS	3	0	х	\$200	11	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS + \$360				\$360	=	\$0.00
	TOTAL OF ABOVE CALCULATIONS					\$0.00		
☐ Reduction by 50% for filing by Small Entity						\$0.00		
/ 6		☐ Recordation of Assignment			+	\$40	=	\$0.00
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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

DTOS Ree'd PCT/PTO 02 MAR

Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

**Customer Number** 

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423